# RDF and SPARQL: Two basic components of the Semantic Web 

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## Outline

- RDF model
- Querying RDF data
- Conjunctive queries
- Entailment of RDF graphs
- Graphs with RDFS vocabulary
- Inference rules
- Querying RDFS data: Closure, Core.
- Querying RDF Data in practice: SPARQL
- Formal semantics for SPARQL
- Complexity of the SPARQL evaluation problem
- A procedural semantics: Well-designed patterns


## Semantic Web

"The Semantic Web is an extension of the current web in which information is given well-defined meaning, better enabling computers and people to work in cooperation."
[Tim Berners-Lee et al. 2001.]
Specific Goals:

- Build a description language with standard semantics.
- Make semantics machine-processable and understandable.
- Incorporate logical infrastructure to reason about resources.
- W3C Proposal: Resource Description Framework (RDF).


## RDF in a nutshell

- RDF is the W3C proposal framework for representing information in the Web.
- Abstract syntax based on directed labeled graph.
- Schema definition language (RDFS): Define new vocabulary (typing, inheritance of classes and properties).
- Extensible URI-based vocabulary.
- Support use of XML schema datatypes.
- Formal semantics.


## RDF formal model


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$B=$ set of Blank nodes
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A set of RDF triples is called an RDF graph

## RDFS: An example



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Some difficulties:

- Existential variables as datavalues
- Built-in vocabulary with fixed semantics (RDFS)
- Graph model where nodes may also be edge labels

RDF data processing can take advantage of database techniques:

- Query processing
- Storing
- Indexing


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Q(\bar{X})=\exists \bar{Y} t_{1} \wedge t_{2} \wedge \cdots \wedge t_{k}
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Conjunctive query:

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Q(\bar{X})=\exists \bar{Y} t_{1} \wedge t_{2} \wedge \cdots \wedge t_{k}
$$

Some examples:

$$
\begin{aligned}
& \text { (Ronaldinho, plays_in, Barcelona) } \\
& \text { (Ronaldinho, plays_in, } X) \\
\exists Y \quad & (X, \text { plays_in }, Y) \wedge(X, \text { lives_in, Spain })
\end{aligned}
$$

## Semantics of conjunctive queries

Given an RDF graph $G$, a conjunctive query $Q(\bar{X})$ and a tuple $\bar{a}$ of values in $U \cup B \cup L$ :

$$
\text { Is } \bar{a} \text { an answer to } Q(\bar{X}) \text { in } G \text { ? }
$$

Notation: $G \models Q(\bar{a})$

Notice that $Q(\bar{X})$ and $\bar{a}$ may include blank nodes.

- Blank nodes play a similar role as existential variables.
- (Ronaldinho, plays_in, $B$ ) and $\exists X$ (Ronaldinho, plays_in, $X$ ) are equivalent.


## Conjunctive queries and entailment of RDF graphs

$Q(\bar{a})$ can be transformed into an RDF graph $G^{\prime}$.

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Entailment of RDF graphs:

- Can be defined in terms of classical notions such model, interpretation, etc
- As for the case of first order logic
- Has a graph characterization via homomorphisms.


## Homomorphism

A function $h: U \cup B \cup L \rightarrow U \cup B \cup L$ is a homomorphism $h$ from $G_{1}$ to $G_{2}$ if:

- $h(c)=c$ for every $c \in U \cup L$;
- for every $(a, b, c) \in G_{1},(h(a), h(b), h(c)) \in G_{2}$

Notation: $G_{1} \rightarrow G_{2}$
Example: $h=\{B \mapsto b\}$


## Entailment

Theorem (CM77)
$G_{1} \models G_{2}$ if and only if there is a homomorphism $G_{2} \rightarrow G_{1}$.

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## Complexity

Entailment for RDF is NP-complete

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RDFS-entailment can be characterized by a set of rules

- An Existential rule
- Subproperty rules
- Subclass rules
- Typing rules
- Implicit typing


## Graphs with RDFS vocabulary: Inference rules

Inference system in [MPG07] has 14 rules:
Existential rule

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Subclass rules $: \frac{(a, \mathrm{rdf}: \mathrm{sc}, b)(b, \mathrm{rdf}: \mathrm{sc}, c)}{(a, \mathrm{rdf}: \mathrm{sc}, c)}$
Typing rules $: \frac{(p, \text { rdf:dom }, c)(a, p, b)}{(a, \text { rdf:type } c)}$

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Subclass rules

Typing rules $: \frac{(p, \text { rdf:dom, } c)(a, p, b)}{(a, r d f: t y p e, c)}$
Implicit typing $: \frac{(B, r d f: d o m, a)(p, r d f: s p, B) \quad(b, p, c)}{(b, r d f: t y p e, a)}$

## RDFS Entailment

Theorem (H03,GHM04,MPG07)
$G_{1} \models G_{2}$ iff there is a proof of $G_{2}$ from $G_{1}$ using the system of 14 inference rules.

Complexity
RDFS-entailment is NP-complete.

## Proof idea

Membership in NP: If $G_{1} \models G_{2}$, then there exists a polynomial-size proof of this fact.

## Querying RDFS data

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Is there any practical mechanism for evaluating queries?

- Making explicit the implicit information.


## Closure of an RDF Graph

Notation:

$$
\begin{array}{ll}
\operatorname{ground}(G): & \text { Graph obtained by replacing every blank } B \\
& \text { in } G \text { by a constant } c_{B} . \\
\text { ground }^{-1}(G): & \text { Graph obtained by replacing every constant } \\
& c_{B} \text { in } G \text { by } B .
\end{array}
$$

Closure of an RDF graph $G$ (denoted by closure $(G)$ ):

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ground $(G)$ : Graph obtained by replacing every blank $B$ in $G$ by a constant $c_{B}$.
ground ${ }^{-1}(G) \quad$ : Graph obtained by replacing every constant $c_{B}$ in $G$ by $B$.

Closure of an RDF graph $G$ (denoted by closure $(G)$ ):

$$
G \cup\{t \in(U \cup B) \times U \times(U \cup B \cup L) \mid
$$

there exists a ground tuple $t^{\prime}$ such that

$$
\left.\operatorname{ground}(G) \models t^{\prime} \text { and } t=\operatorname{ground}^{-1}\left(t^{\prime}\right)\right\}
$$

## Closure of an RDF Graph: Example



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## Querying RDFS data: Using the closure of a graph

## Proposition (H03,GHM04,MPG07)

$G_{1} \models G_{2}$ iff $G_{2} \rightarrow \operatorname{closure}\left(G_{1}\right)$

## Complexity

The closure of $G$ can be computed in time $O\left(|G|^{4} \cdot \log |G|\right)$.

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Can the closure be used in practice?

- Can we use an alternative materialization?
- Can we materialize a small part of the closure?


## Core of an RDF Graph

An RDF Graph $G$ is a core if there is no homomorphism from $G$ to a proper subgraph of it.

## Theorem (HN92,FKP03,GHM04)

- Each RDF graph G has a unique core (denoted by core( $G$ )).
- Deciding if $G$ is a core is coNP-complete.
- Deciding if $G=\operatorname{core}\left(G^{\prime}\right)$ is $D P$-complete.


## Core and RDFS

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## Theorem (GHM04)

- $G_{1}$ is equivalent to $G_{2}$ iff $n f\left(G_{1}\right) \cong n f\left(G_{2}\right)$.
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## Complexity

The problem of deciding if $G_{1}=n f\left(G_{2}\right)$ is DP-complete.

## Querying RDF Data in practice

- SPARQL is the W3C candidate recommendation query language for RDF.
- SPARQL is a graph-matching query language.
- A SPARQL query consists of three parts:
- Pattern matching: optional, union, nesting, filtering.
- Solution modifiers: projection, distinct, order, limit, offset.
- Output part: construction of new triples, ....


## A simple RDF query language

```
SELECT ?Name ?Email
WHERE
?X :name ?Name
?X :email ?Email
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H \leftarrow
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- Head: processing of some variables.


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We focus on $P$.

## But things can become more complex ...

Interesting features of pattern matching on graphs
\{ P1
P2 \}

- Grouping
- Optional parts
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- Union of patterns
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        P4 }
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```
\{ \{ P1
    P2
    OPTIONAL \{ P5 \} \}
    \{ P3
        P4
        OPTIONAL \{ P7 \} \}
\}
```


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In our work:

- A formal compositional semantics (for simple RDF)
- Complexity bounds
- Optimization procedures


## A standard algebraic syntax

- Triple patterns: just triples + variables, without blanks

```
?X :name "john"
```

(?X, name, john)

- Graph patterns: full parenthesized algebra
\{ P1 P2 \}
\{ P1 OPTIONAL \{ P2 \}\}
\{ P1 \} UNION \{ P2 \}
\{ P1 FILTER ( R ) \}
original SPARQL syntax
( $P_{1}$ AND $P_{2}$ )
$\left(P_{1}\right.$ OPT $\left.P_{2}\right)$
( $P_{1}$ UNION $P_{2}$ )
( $P_{1}$ FILTER $R$ )
algebraic syntax


## A standard algebraic syntax

- Explicit precedence/association

```
Example
    { t1
        t2
        OPTIONAL { t3 }
        OPTIONAL { t4 }
        t5
    }
    (((( }\mp@subsup{t}{1}{}\textrm{AND}\mp@subsup{t}{2}{})\textrm{OPT}\mp@subsup{t}{3}{})\textrm{OPT}\mp@subsup{t}{4}{})\textrm{AND}\mp@subsup{t}{5}{}
```


## Mappings: building block for the semantics

## Definition

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## Example

graph
$\left(R_{1}\right.$, name, john $)$
$\left(R_{1}\right.$, email, J@ed.ex $)$
$\left(R_{2}\right.$, name, paul $)$
triple
(?X, name, ?Y)
$\mu_{1}$ :
$\mu_{2}$ :

| evaluation |
| :--- |
| $? X$ $? Y$ <br>  $R_{1}$ <br> john  <br>  $R_{2}$ |

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| graph | triple | evaluation |  |  |
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## Compatible mappings

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Two mappings are compatible if they agree in their shared variables.

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Example

| $\mu_{1}:$ | $? X$ | $? Y$ | $? Z$ | $? V$ |
| ---: | :---: | :---: | :---: | :---: |
| $\mu_{2}:$ | $R_{1}$ | john |  |  |
| $\mu_{3}:$ |  |  | J@edu.ex <br> P@edu.ex | $R_{2}$ |
| $\mu_{1} \cup \mu_{2}:$ |  |  |  |  |
|  | $R_{1}$ | john | J@edu.ex |  |
|  |  |  |  |  |

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|  |  |  |  |  |

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Example

|  | ? $X$ | ?Y | ?Z | $? \mathrm{~V}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mu_{1}$ | $R_{1}$ | john |  |  |
| $\mu_{2}$ | $R_{1}$ |  | J@edu.ex |  |
| $\mu_{3}$ |  |  | P@edu.ex | $R_{2}$ |
| $\mu_{1} \cup \mu_{2}$ | $R_{1}$ | john | J@edu.ex |  |
| $\mu_{1} \cup \mu_{3}$ | $R_{1}$ | john | P@edu.ex | $R_{2}$ |

## Compatible mappings

## Definition

Two mappings are compatible if they agree in their shared variables.

Example

| $\mu_{1}:$ | $? X$ | $? Y$ | $? Z$ | $? V$ |
| ---: | :---: | :---: | :---: | :---: |
| $\mu_{2}:$ | $R_{1}$ | john |  |  |
| $\mu_{3}:$ |  |  | J@edu.ex <br> P@edu.ex | $R_{2}$ |
| $\mu_{1} \cup \mu_{2}:$ |  |  |  |  |
| $\mu_{1} \cup \mu_{3}:$ | $:$ | $R_{1}$ | john | J@edu.ex |
| $R_{1}$ | john | P@edu.ex | $R_{2}$ |  |
|  |  |  |  |  |

- $\mu_{2}$ and $\mu_{3}$ are not compatible


## Sets of mappings and operations

Let $M_{1}$ and $M_{2}$ be sets of mappings:
Definition

## Sets of mappings and operations

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$$
\text { Join: } M_{1} \bowtie M_{2}
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- extending mappings in $M_{1}$ with compatible mappings in $M_{2}$


## Sets of mappings and operations

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- mappings in $M_{1}$ plus mappings in $M_{2}$ (set theoretical union)


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Union: $M_{1} \cup M_{2}$

- mappings in $M_{1}$ plus mappings in $M_{2}$ (set theoretical union)


## Definition

$$
\text { Left Outer Join: } M_{1} \bowtie M_{2}=\left(M_{1} \bowtie M_{2}\right) \cup\left(M_{1} \backslash M_{2}\right)
$$

## Semantics of SPARQL operators

Let $M_{1}$ and $M_{2}$ be the result of evaluating $P_{1}$ and $P_{2}$.

## Definition

The evaluation of:

$$
\begin{array}{cl}
\left(P_{1} \text { AND } P_{2}\right) & \rightarrow \\
\left(P_{1} \text { UNION } P_{2}\right) & \rightarrow \\
\left(P_{1} \text { OPT } P_{2}\right) & \rightarrow
\end{array}
$$

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\left(P_{1} \text { OPT } P_{2}\right) & \rightarrow & M_{1} \unlhd M_{2}
\end{array}
$$

## Simple example

## Example

$\left(R_{1}\right.$, name, john $)$
$\left(R_{1}\right.$, email, J@ed.ex $)$
$\left(R_{2}\right.$, name, paul $)$
( (?X, name, ?Y) OPT (?X, email, ?E))

## Simple example

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$\left(R_{1}\right.$, name, john $)$
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$((? X$, name, ?Y) OPT $(? X$, email, ?E $))$

## Simple example

## Example

$$
\begin{gathered}
\left(R_{1}, \text { name, john }\right) \\
\left(R_{1}, \text { email, J@ed.ex }\right) \\
\left(R_{2},\right. \text { name, paul) } \\
((? X, \text { name, ?Y) OPT }(? X, \text { email, ?E) })
\end{gathered}
$$

| $? X$ | $? Y$ |
| :---: | :---: |
| $R_{1}$ | john |
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## Simple example

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$\left(R_{1}\right.$, name, john $)$
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| $? X$ | $? E$ |
| :---: | :---: |
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| :---: | :---: |
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| $? X$ | ?Y |
| :---: | :---: |
| $R_{1}$ | john |
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| $? X$ | $? E$ |
| :---: | :---: |
| $R_{1}$ | J@ed.ex |

## Simple example

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| $? X$ | ?Y |
| :---: | :---: |
| $R_{1}$ | john |
| $R_{2}$ | paul |


| $? X$ | $? Y$ | $? E$ |
| :---: | :---: | :---: |
| $R_{1}$ | john | J@ed.ex |
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| $? X$ | ?E |
| :---: | :---: |
| $R_{1}$ | J@ed.ex |

- from the Join


## Simple example

## Example

$\left(R_{1}\right.$, name, john $)$
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| :---: | :---: |
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| $? X$ | $? E$ |
| :---: | :---: |
| $R_{1}$ | J@ed.ex |

- from the Difference


## Simple example

## Example

$\left(R_{1}\right.$, name, john $)$
$\left(R_{1}\right.$, email, J@ed.ex $)$
$\left(R_{2}\right.$, name, paul)
( (?X, name, ?Y) OPT (?X, email, ?E))

| $? X$ | ?Y |
| :---: | :---: |
| $R_{1}$ | john |
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| $? X$ | $? Y$ | $? E$ |
| :---: | :---: | :---: |
| $R_{1}$ | john | J@ed.ex |
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| $? X$ | ?E |
| :---: | :---: |
| $R_{1}$ | J@ed.ex |

- from the Union


## Boolean filter expressions (value constraints)

In filter expressions we consider

- equality = among variables and RDF terms
- unary predicate bound
- boolean combinations ( $\wedge, \vee, \neg$ )


## Satisfaction of value constraints

A mapping satisfies

- $? X=c$ if it gives the value $c$ to variable $? X$
- $? X=$ ? $Y$ if it gives the same value to $? X$ and $? Y$
- bound(? $X$ ) if it is defined for ? $X$


## Definition

Evaluation of ( $P$ FILTER $R$ ): Set of mappings in the evaluation of $P$ that satisfy $R$.

## Natural algebraic properties: A simple normal from

- AND and UNION are commutative and associative.
- AND, OPT, and FILTER distribute over UNION.


## Theorem (UNION Normal Form)

Every graph pattern is equivalent to one of the form

$$
P_{1} \text { UNION } P_{2} \text { UNION } \cdots \text { UNION } P_{n}
$$

where each $P_{i}$ is UNION-free.

## The evaluation problem

## Input:

A mapping, a graph pattern, and an RDF graph.

## Question:

Is the mapping in the evaluation of the pattern against the graph?

## Evaluation of simple patterns is polynomial.

## Theorem (PAG06)

For patterns using only AND and FILTER operators, the evaluation problem is polynomial:

$$
O(\text { size of the pattern } \times \text { size of the graph }) \text {. }
$$

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$$
O(\text { size of the pattern } \times \text { size of the graph). }
$$

## Proof idea

- Check that the mapping makes every triple to match.
- Then check that the mapping satisfies the FILTERs.


## Evaluation including UNION is NP-complete.

## Theorem (PAG06)

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- Reduction from 3SAT.
- A pattern encodes the propositional formula.
$\downarrow \neg$ bound is used to encode negation.


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For general patterns that include OPT operator, the evaluation problem is PSPACE-complete.

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- Reduction from QBF
- A pattern encodes a quantified propositional formula:

$$
\forall x_{1} \exists y_{1} \forall x_{2} \exists y_{2} \cdots \psi
$$

- nested OPTs are used to encode quantifier alternation. (This time, we do not need $\neg$ bound.)


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For general patterns that include OPT operator, the evaluation problem is PSPACE-complete.

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- Reduction from QBF
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(This time, we do not need $\neg$ bound.)


## PSPACE-hardness: A closer look

Assume $\varphi=\forall x_{1} \exists y_{1} \psi$, where $\psi=\left(x_{1} \vee \neg y_{1}\right) \wedge\left(\neg x_{1} \vee y_{1}\right)$.
We generate $G, P_{\varphi}$ and $\mu_{0}$ such that $\mu_{0}$ belongs to the answer of $P_{\varphi}$ over $G$ iff $\varphi$ is valid:

$$
\begin{array}{cc}
G & : \\
P_{\psi} & : \\
P_{\varphi} & : \\
\mu_{0} & :
\end{array}
$$

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$$
\begin{aligned}
& G:\{(a, \mathrm{tv}, 0),(a, \mathrm{tv}, 1),(a, \text { false }, 0),(a, \text { true }, 1)\} \\
& P_{\psi}: \\
& P_{\varphi}: \\
& \mu_{0}:
\end{aligned}
$$

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## Data-complexity is polynomial

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When patterns are consider to be fixed (data complexity), the evaluation problem is in LOGSPACE.

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When patterns are consider to be fixed (data complexity), the evaluation problem is in LOGSPACE.

## Proof idea <br> From data-complexity of first-order logic.

## A procedural semantics

Suggestion of the W3C to evaluate query $A$ OPT( $B$ OPT $C$ ):
First compute the mappings that match $A$, then check which of these mappings match $B$, and for those who match $B$ check whether they also match $C$.

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Depth-first traversal of queries parse trees.

- As opposed to the bottom-up evaluation induced by the compositional semantics.


## A procedural semantics

## Consider: (A AND (B OPT (C OPT D)))



- Algebraic semantics: induces the usual bottom-up evaluation.
- Alternative semantics: depth-first traversal of the parse tree.
- Similar to the procedural semantics of Jena/ARQ
- Navigational semantics of nested OPTs in official SPARQL (April 2006)
- These two evaluation algorithms do not always coincide.


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- Similar to the procedural semantics of Jena/ARQ
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- non-compositional
- AND of patterns is non-commutative


## Well-designed patterns

Definition
A graph pattern is well-designed iff for every OPT in the pattern ( $\cdots \cdots \cdots \cdots$ ( $A$ OPT $B$ ) $\cdots \cdots \cdots \cdots$ )
if a variable occurs inside $B$ and anywhere outside the OPT, then the variable must also occur inside $A$.

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## Well-designed patterns and PSPACE-hardness

In the PSPACE-hardness reduction we use this formula:

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\begin{aligned}
& P_{\varphi}:\left(a, \text { true }, ? B_{0}\right) \text { OPT }\left(P_{1} \text { OPT }\left(Q_{1} \text { AND } P_{\psi}\right)\right) \\
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It is not well-designed: $B_{0}$

## Well-designed patterns

Theorem (PAG06)
For well-designed graph patterns:
depth-first traversal evaluation $=$ compositional semantics

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- Classical optimization assumes null-rejection.
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## Final remarks

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